**SJTU-Warwick Joint Seed Fund – Round 3**

**Warwick Requirements Form 2022/23**

In addition to the **SJTU-Warwick Joint Seed Fund Application** **Form 2022/23** Warwick lead investigators are required to supply the following additional information to support their application. The Warwick Requirements Form should be submitted alongside the Application Form using SJTU’s online application portal (link: <https://my.sjtu.edu.cn/>) by **Sunday 10th July 2022**. Please note that only the SJTU lead investigator will be able to access the portal and will be required to submit both forms.

**Budget**

Please provide a breakdown of the estimated costs for the proposed activities. Costs must be itemised – e.g. for accommodation: number of people x number of nights x price per night.

|  |  |
| --- | --- |
| **University of Warwick** | |
| Insert itemised costs (add lines as required) | **2022/23** |
|  | £0 |
|  | £0 |
|  | £0 |
|  | £0 |
|  | £0 |
|  | £0 |
|  | £0 |
|  | £0 |
| **TOTAL REQUEST** | **£0.00** |

**CERTIFICATIONS**

**You have been asked to complete these questions as part of University financial regulations. Further information can be found by clicking on the link provided below.**

**Export Control**

Information on detailed export control guidance is available from [Export Controls](https://warwick.ac.uk/services/ris/export-controls/).

|  |  |  |
| --- | --- | --- |
| Is your research funded by or are you collaborating with any military organisations? | | **Yes/No** |
| If yes, please specify below:- | | |
| Please indicate if you are transferring (physically, electronically or verbally) any technologies, material, equipment or know-how to any non-UK organisation, in the following categories?  *N.B., "*[*technology*](https://files.warwick.ac.uk/daletopley/files/Export+Control/Resources/Technology+Definitions.pdf)*" means specific 'information' necessary for the "development", "production" or "use" of goods or "software";*  *'Information' may take forms including, but not limited to: blueprints, plans, diagrams, models, formulae, tables, 'source code', engineering designs and specifications, manuals and instructions written or recorded.*   1. Aeronautical and Space Technology 2. Applied Chemistry, Biochemistry and Chemical Engineering 3. Applied Physics 4. Biotechnology 5. Electrical and Mechanical Engineering 6. Instrumentation and Sensors 7. Materials Technology 8. Nuclear Technologies 9. Production and Process Technology 10. Telecommunications and Information Technology 11. None of the above | |  |
| Does the technology, material, equipment or know-how have the potential to support the design, development, production, stockpiling or use of nuclear, chemical or biological weapons? | | **Yes/No** |
| If yes, please specify below:- | | |
| Do you have any concerns that the end user of this research could use the technology, material, equipment or know-how to support the design, development, production, stockpiling or use of nuclear, chemical or biological weapons? | | **Yes/No** |
| If yes, please specify below:- | | |
| Are you receiving any technology, material, equipment or know-how from the US or which is subject to US export control? | **Yes/No** | |
| If yes, please specify below:- | | |

If you are unsure of how to answer any of the above questions, please contact

[Export-Control@Warwick.ac.uk](mailto:Export-Control@Warwick.ac.uk)

**Ethics Approval**

Information on applying for ethics is available from [Research & Impact Services](https://warwick.ac.uk/services/ris/research_integrity/humanparticipants_material_data).

|  |  |  |  |
| --- | --- | --- | --- |
| **RESEARCH**  Are you applying for a grant to carry out research? | | | |
| **Yes** (Complete the below) |  | **No** (Ignore the below) |  |
| Will the project involve human participants, their data or tissue? | | | **Yes/No** |
| Does the project involve the NHS or Social Care? | | | **Yes/No** |
| Will the project involve animals? | | | **Yes/No** |
| If yes to the above, from which committee has approval been sought? | | | |
| Is the research commissioned by the military; | | | **Yes/No** |
| Is the research commissioned under an EU security call; | | | **Yes/No** |
| Will the research involve the acquisition of security clearances; | | | **Yes/No** |
| Will the research concern terrorist or extreme groups | | | **Yes/No** |

Please note this funding is subject to the researcher obtaining all the necessary ethical and other approvals **before** the project begins. Please contact the Research Governance Team ([researchgovernance@warwick.ac.uk](mailto:researchgovernance@warwick.ac.uk)) in Research & Impact Services for further guidance if the project involves human participants their data or tissue. Failure to obtain ethics approval, where required, constitutes research misconduct and is a serious matter.

**Head of Department Supporting Statement**

All applications must be endorsed by the relevant Head of Department. Applications that do not include a statement from the Head of Department will not be supported. The supporting statement should include:

* Confirmation that the project/activity is not already being supported by funds from existing individual, group or departmental budgets.
* If other, additional sources of internal funding are being sought to support this activity.
* That any award received under this scheme represents a budget virement of actual expenditure and not a cash award and that bids for expenditure will adversely impact both the budgeted and actual gross surplus and gross margin percentage of the Lead Investigator’s Department.

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| --- | --- |
| **SUPPORTING STATEMENT**  Please ensure that the following statement is included in your statement of support. *“I understanding that any award received under this scheme represents a budget virement of actual expenditure and not a cash award and that bids for expenditure will adversely impact both the budgeted and actual gross surplus and gross margin percentage of my department.”* | |
|  | |
| **Name** |  |
| **Department** |  |
| **Signature** |  |
| **Date** | Click or tap to enter a date. |